

Definiteness via partitive specificity?

A view from Abaza

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- Two nominal affixes in Abaza
 - ▶ the prefix *a-* (glossed here as SP) **á**-sas ‘SP-guest’
 - ▶ the suffix *-ḳ* (glossed as INDF) sasó**-ḳ** ‘guest-INDF’
- Traditional analysis Tabulova 1976, Arakelova 2019, Arkadiev submitted
 - ▶ *a-* marks **definiteness**
 - ▶ *-ḳ* marks **indefiniteness**

● Problems

- ▶ nominals marked by both *a-* and *-ḳ* **á-sas-ḳ** ‘SP-guest-INDF’
- ▶ *a-* attached to indefinite pronouns **á-zaž** ‘SP-someone’

● This talk

- ▶ an attempt at a new monosemic account of *a-* and *-ḳ*
- ▶ *a-* as a marker of **partitive specificity** rather than definiteness
- ▶ formalization within compositional **dynamic semantics**

Data

- Preliminary data coming from the Ashkharywa dialect
 - ▶ **Apswa** (Karachay-Cherkess Republic, Russia), July 2023 + April 2024
- Elicited using the methodology of Matthewson 2004
- Additional data from Staro-Kuvinsk (elicitation + texts) forthcoming

Outline

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Abaza

- < West Caucasian family
 - ▶ closest relative: Abkhaz
- Two main dialects: Tapanta and Ashkharywa (might be closer to Abkhaz, Chirikba 2003)
- Spoken mainly in Karachay-Cherkess Republic (Russia) and in Turkey
- ~ 38,000 native speakers in Russia and ~ 10,000 in other countries Arkadiev submitted

Abaza



Abaza: grammatical profile

- Polysynthesis
- Head-marking, all arguments are cross-indexed by prefixes on argument-taking expressions (verbs, possessed nouns, postpositions)
- Argument indexing follows an ergative pattern, case system is neutral
- Prevalent left branching

Grammatical descriptions: Tabulova 1976, Arkadiev submitted

Nominal phrases

- The noun and its ‘adjectival’ modifiers form a single phonological word: so-called **nominal complex**
- Inflectional affixes are attached to the nominal complex
 - ▶ SP/agreement with the possessor - stem - plural - INDF - case
- Demonstratives, nominals denoting possessors, and relative clauses occur outside the nominal complex

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Types of nominal phrases

Four logically possible types of nominal phrases:

- **unmarked** sasá ‘guest’
- **marked by *a*-** á-sas ‘SP-guest’
- **marked by *-k*** sasá-k ‘guest-INDF’
- **marked by *a-* and *-k*** á-sas-k ‘SP-guest-INDF’

All of them are attested.

Unmarked nominals

- (1) **šá** j-a-w-m-r-tǎ-n.
door 3SG.N.ABS-3SG.N.IO-2SG.M.ERG-NEG-CAUS-open-NEG.IMP
'Don't open **any door**.'

- Resist modification
- Typically have narrow scope indefinite interpretations
- So far, an analysis in terms of pseudo-incorporation seems plausible (see Arkadiev & Testelefs 2019 for the Tapanta dialect)

I won't consider unmarked NPs further due to the lack of data.

DPs marked by a -

Occur in contexts typical for anaphoric and unique definites:

- anaphora
- situational uniqueness
- presence of a demonstrative

DPs marked by *a-*

Anaphoric contexts:

- (2) a. h-čǎ́ sasǎ́-k d-á-j.
1PL.PO-near guest-INDF 3SG.H.ABS-CSL-go(PST)
'A guest came to us.'
- b. aslán **á-sas** d-əj-dǎ́r-aj.
Aslan **SP-guest** 3SG.H.ABS-3SG.M.ERG-know-PRS
'Aslan knows the guest.'

DPs marked by *a-*

Situational uniqueness:

- (3) [There is only one chess champion in the town.]
sará də-z-dár-aj a-č'ampəjáwn šáχmat-la.
1SG 3SG.H.ABS-1SG.ERG-know-PRS **SP-champion** **chess-INS**
'I know **the chess champion.**'

DPs marked by *a-*

When the DP features a demonstrative, *a-* is also typically used:

- (4) sará awáj a-təwk'án s-c-əw-š.
1SG DIST.SG SP-shop 1SG.ABS-go-IPF-FUT
'I will go to **that shop.**'

DPs marked by $-k$

- Occur in contexts typical for **indefinites**
- Don't require partitive specificity
- Can take narrow scope w.r.t. different operators

DPs marked by -k

Introduce new drefs; don't require partitive specificity:

(5) [Out of the blue:]

h-čě **sasě-k** d-á-j.

1PL.PO-near **guest-INDF** 3SG.H.ABS-CSL-go(PST)

'A **guest** came to us.'

DPs marked by -k

Infelicitous in anaphoric contexts:

- (6) a. h-čǎ sasǎ-k d-á-j.
1PL.PO-near guest-INDF 3SG.H.ABS-CSL-go(PST)
'A guest came to us.'
- b. # aslán **sasǎ-k** d-əj-dǎr-aj.
Aslan **guest-INDF** 3SG.H.ABS-3SG.M.ERG-know-PRS
intended: 'Aslan knows **the guest.**'

DPs marked by -ḳ

Infelicitous in contexts of situational uniqueness:

(7) [There is only one principal in the school under discussion]

sará **dəjrájktar-ḳ** də-z-dár-aj.

1SG **principal-INDF** 3SG.H.ABS-1SG.ERG-know-PRS

intended: 'I know **the principal.**'

DPs marked by -ķ

Can take narrow scope:

- (8) **vráč'-ķ** w-j-á-čaža!
doctor-INDF 2SG.M.ABS-3SG.M.IO-DAT-talk(IMP)
'Talk to **some doctor!**' (any doctor will do)

DPs marked by *a-* and *-k̇*

- Occur in contexts typical for **indefinites**
- **Do** require **partitive specificity**
- Can take narrow scope w.r.t. different operators

DPs marked by *a-* and *-k*

Introduce new drefs, cannot be used anaphorically:

- (9) a. á-sas-k də-z-dár-aj.
SP-guest-INDF 3SG.H.ABS-1SG.ERG-know-PRS
'I know one of the guests.'
- b. aslán-g'əj **á-sas-k** də-j-dár-aj.
Aslan-ADD **SP-guest-INDF** 3SG.H.ABS-3SG.M.ERG-know-PRS
OK 'Aslan also knows **one of the guests.**'
'Aslan knows **that guest.**'

DPs marked by *a-* and *-k*

Infelicitous out of the blue:

- (10) [Out of the blue:]
h-čǎ á-sas-k d-á-j.
1PL.PO-near **SP-guest-INDF** 3SG.H.ABS-CSL-go(PST)
'One of the guests came to us.'

DPs marked by *a-* and *-k*

OK in contexts of **partitive specificity** (the nominal phrase refers to a subset of a familiar set of entities; Enç 1991, Farkas 2002, Farkas & Brasoveanu 2019):

- (11) a. h-čá á-sas-ča j-á-j.
1PL.PO-near SP-guest-PL.H 3PL.ABS-CSL-go(PST)
'The guests came to us.'
- b. ^{OK} á-sas-k də-z-dór-aj.
SP-guest-INDF 3SG.H.ABS-1SG.ERG-know-PRS
'I know **one of the guests.**'

DPs marked by *a-* and *-k*

Don't signal wide scope:

(12) [In a hospital:]

á-vrač'-k w-j-á-čaža!

SP-врач-INDF 2SG.M.ABS-3SG.M.IO-DAT-говорить(IMP)

'Talk to **one of the doctors.**' (any doctor will do)

Summary

- DPs marked by $a-$: **definite**
- DPs marked by $-ḳ$: **indefinite**
- DPs marked by $a-$ and $-ḳ$: **indefinite + partitive specific**

Additional evidence: indefinite pronouns

- Some indefinite pronouns can carry the prefix *a-*
 - ▶ *zažá* ‘someone’ – *á-zažá* ‘SP-someone’, *zž’aká* ‘someone’ – *á-zž’ak* ‘SP-someone’
- The only semantic difference between ‘bare’ indefinite pronouns and their counterparts marked by *a-* seems to be partitive specificity.

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In a nutshell

- The suffix $-k$ is naturally analyzed as an indefiniteness marker
- The prefix $a-$ is more problematic: it seems to mark partitive specificity when combined with $-k$ or indefinite pronouns and definiteness otherwise
- An idea for a monosemic account of $a-$:
 - ▶ under the **familiarity view of definiteness** (Heim 1982, Roberts 2010 a.o.), all definite NPs are partitive specific (Enç 1991)
 - ▶ thus, $a-$ can be analyzed as a partitive specificity marker

Syntactic assumptions

I assume the following structure of DP in Abaza:

$[\text{DP } \{-k, \emptyset_{[-\text{INDF}]}, \dots\}_D [\text{PossP } \{a-, \emptyset_{[-\text{SP}]}, \dots\}_{\text{Poss}} \text{NP}]]$

- $a-$ is generated in Poss^0 : recall that it cannot co-occur with prefixes cross-indexing the possessor
- $-k$ presupposes novelty, while $a-$ presupposes partitive specificity of the dref introduced by the DP
- in the absence of $-k$ and $a-$, null presuppositionless elements $\emptyset_{[-\text{INDF}]}$ and $\emptyset_{[-\text{SP}]}$ are postulated

Dynamic semantics

- I adopt the framework of compositional **dynamic semantics**
- The system is similar to that of Dekker 1996 (which in turn is based on Heim 1982)
- Sentence meanings are **context change potentials**, i.e. functions from contexts to contexts

Dynamic semantics

Context:

a set of pairs $\langle w, f \rangle$, where w is a possible world and f is a partial assignment function

Every assignment in c has the same domain, written as $\text{Dom}(c)$

Dynamic semantics

Dref introduction:

$$[i] = \lambda c. \{ \langle w, g \rangle \mid \text{there is } f \text{ s.t. } \langle w, f \rangle \in c \text{ and } f \subseteq_i g \}$$

where:

$$f \subseteq_i g \text{ iff } \text{Dom}(g) = \text{Dom}(f) \cup \{i\} \text{ and for each } j \in \text{Dom}(f) : f(j) = g(j)$$

Dynamic semantics

Dynamic predication:

For a static n -place property r ,

$$R(i_1)\dots(i_n) = \lambda c. \{ \langle w, f \rangle \in c \mid r_w(f(i_1))\dots(f(i_n)) \}$$

in particular,

$$i \leq j = \lambda c. \{ \langle w, f \rangle \in c \mid f(i) \leq_w f(j) \}$$

Dynamic semantics

Novelty and familiarity cf. Heim 1982:

$$\text{NOVEL}(i) = \lambda c : i \notin \text{Dom}(c). c$$

$$\text{FAMILIAR}(i) = \lambda c : i \in \text{Dom}(c). c$$

Dynamic semantics

Presupposing (cf. Beaver 2001):

$$\partial(A) = \lambda c : A(c) = c. c$$

Conjunction:

$$A; B = \lambda c. B(A(c))$$

Dynamic semantics

- NPs and VPs denote dynamic predicates, e.g.

$$\llbracket sasə \rrbracket = \lambda i. \text{Guest}(i)$$

- I will ignore the contribution of number for simplicity

Semantics for the determiners

$$\llbracket \text{-}k \rrbracket = \lambda P. \lambda i. \lambda Q. \text{NOVEL}(i); [i]; P(i); Q(i)$$

$$\llbracket \emptyset_{[-\text{INDF}]} \rrbracket = \lambda P. \lambda i. \lambda Q. [i]; P(i); Q(i)$$

$$\llbracket \text{-}a \rrbracket = \lambda P. \lambda i. \lambda D. \lambda j. \lambda Q. \text{FAMILIAR}(i); \partial(P(i)); D(P)(j)(Q); j \leq i$$

$$\llbracket \emptyset_{[-\text{SP}]} \rrbracket = \lambda P. P$$

Maximize presupposition (MP)

Given a context c and an LF S , if there is an LF S' s.t.

(i) $\llbracket S \rrbracket(c) = \llbracket S' \rrbracket(c)$ and

(ii) $\{c \mid \llbracket S' \rrbracket(c) \text{ is defined}\} \subset \{c \mid \llbracket S \rrbracket(c) \text{ is defined}\}$,

then S is infelicitous in the context c .

Heim 1991, Bade 2021

Presuppose the NP restriction

I will employ the following additional principle (supposed to follow from more general pragmatic considerations):

- If a nominal phrase interpreted in the context c introduces a familiar dref i , then the NP restriction P predicated to i should be presupposed in c formally: $P(i)(c) = c$

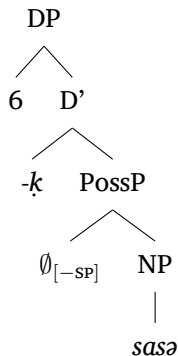
cf. Roberts 2010

Predictions

- The combination $\emptyset_{[-\text{INDF}]} + \emptyset_{[-\text{SP}]}$ is ruled out: it violates either MP or *Presuppose the NP restriction*
- DPs marked only by $a-$: definite (definiteness understood as weak familiarity; Roberts 2003)
- DPs marked only by $-k$: indefinite
- DPs marked by both $a-$ and $-k$: indefinite + partitive specific

Examples

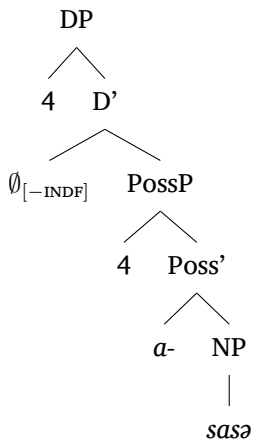
sasə-k ‘guest-INDF’



λQ .NOVEL(6); [6]; Guest(6); Q(6)

Examples

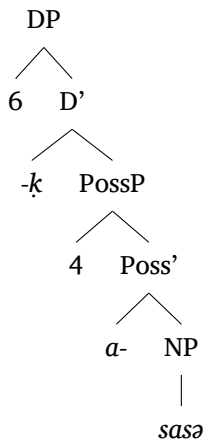
a-sas 'SP-guest'



$\lambda Q.FAMILIAR(4); \partial(Guest(4)); Q(4)$

Examples

a-sas-k 'SP-guest-INDF'



$\lambda Q.FAMILIAR(4); \partial(\text{Guest}(4)); [6]; \text{Guest}(6); Q(6); 6 \leq 4$

Extension to indefinite pronouns

- We can account for indefinite pronouns with and without *a-* by decomposing them into an indefinite article and an NP restriction
- E.g., the structure for *á-zaǰ* ‘SP-someone’ could look like

[DP INDF [_{POSSP} *a-* HUMAN]]

- *zaǰə* spells out INDF + HUMAN

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Recap

- In Abaza, the prefix *a-* (traditionally considered an definiteness marker) can co-occur with the indefiniteness marker *-ḳ* and indefinite pronouns
- I proposed a solution to this problem by re-analyzing *a-* as a marker of partitive specificity

Against analyzing a -NP- \dot{k} as a partitive construction

- Could NPs featuring both a - and \dot{k} be analyzed as genuine partitive constructions?
- The partitive analysis is unlikely to be correct: there is a dedicated analytic partitive construction

Against analyzing *a*-NP-*k* as a partitive construction

- Note the prefix *a*- on the indefinite pronoun, which is hard to account for if the pronoun itself is a partitive construction in disguise

(13) [[**awát** **rə-wa**] **á-zaʒ̣**] **aslán**
DIST.PL 3PL.PO-among SP-someone Aslan
də-j-dár-aj.
3SG.H.ABS-3SG.M.ERG-know-PRS
‘Aslan knows **one of them.’**

Loose ends

- Usual problems of the familiarity theory of definiteness (see Coppock 2022 for a recent discussion)
- I ignored number and discussed only singular DPs (singular is unmarked) for simplicity and because the semantics of plurality markers in Abaza is ill-understood
 - ▶ importantly, *a-* and *-ḳ* do co-occur with plurality markers
- There are functions of *a-* and *-ḳ* not covered by the account (e.g., *a-* can mark kind reference)

Parallels from other languages








- Definite articles in Greek, Basque, and Hungarian can be used as quantifier domain restriction markers Etxeberria & Giannakidou 2009
 - ▶ NB: they do not co-occur with indefinite articles
- Some Uralic determiners used in definite and partitive specific contexts Simonenko 2017

Thank you!







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Presuppose the NP restriction

Motivations for the additional principle:

- No clear examples of determiners violating it
- Evidence from an articleless language: a bare NP can be understood anaphorically only if its predicate can be construed as presupposed
 - ▶ if Heim 2011 and others are correct and such bare NPs are always indefinite, there should be a special constraint responsible for this effect

Presuppose the NP restriction

(15) RUSSIAN

Petja sidel v kafe rjedom s neznakomcem_i.

Petja was.sitting in cafe near with stranger

Vdrug on dostal pistolet i vystrelil v neznakomca_{OK_i/??_j}

suddenly he took gun and shot in stranger

/ špiona_{?_i/OK_j}.

spy

‘Petja was sitting in a cafe next to a stranger. Suddenly he took his gun and shot **the** stranger / **a** spy.’

NB: ‘the spy’ reading is actually possible but seems to involve accomodation